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Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/654,643 Filing Date TRANSMITTAL 09/05/2000 First Named Inventor **FORM** Pak Shing Chau Art Unit 2631 **Examiner Name** Bayard, Emmanuel (to be used for all correspondence after initial filing) Attorney Docket Number RA-194 (RA194.P.US) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Check # 2004 Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): 1. Form PTO/SB/08A (1 page). Request for Refund **Express Abandonment Request** 2. Form PTO/SB/08B (1 page). Copies of all references cited on form CD, Number of CD(s) Information Disclosure Statement PTO/SB/08B. 4. Return Receipt Postcard. Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Silicon Edge Law Group, LLP Arthur-J. Behiel, Patent Attorney Signature Printed name Arthur J. Behiel Date Reg. No. 39,603 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Laurie Moreno Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type) Arthur J. Behi

PTO/SB/17 (12-04v2) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Date April 19, 2005

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| Effective on 12/08/2004. Complete if Known | | | | | | | | |
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| FEE TRANSMITTAL | | | Application Num | lumber 09/654,643 | | | | |
| FEEIR | ANS | NIII I A | L | Filing Date | 09 | 9/05/20 | 00 | |
| For FY 2005 | | | First Named Inv | First Named Inventor Pak Shing Chau | | | | |
| | | - 0 07 050 4 07 | | Examiner Name | В | ayard, E | Emmanuel | • |
| Applicant claims small | entity statu: | s. See 37 CFR 1.27 | | Art Unit | 26 | 631 | | |
| TOTAL AMOUNT OF PAYI | MENT (\$ | 3) 180.00 | | Attorney Docket | No. R | A-194 (| RA194.P.U | JS) |
| METHOD OF PAYMENT | (check a | ll that apply) | | | | | | |
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| FEE CALCULATION | | | | | | | | |
| 1. BASIC FILING, SEAR | CH, AND | EXAMINATION FI | EES | | | | | |
| | FILING | FEES Small Entity | SEAF | CH FEES | EXAMI | | | |
| Application Type | Fee (\$) | | Fee (\$ | Small Entity Fee (\$) | Fee (\$ | | Entity (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 10 | 0 | |
| Design | 200 | 100 | 100 | 50 | 130 | 6 | 5 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 8 | 0 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 30 | 0 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | | 0 | |
| 2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Each independent claims Total Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee (\$) Fee Paid (\$) Fee Paid (\$) Fee Paid (\$) Fee Paid (\$) | | | | | | | | |
| 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) | | | | | | | | |
| Other (e.g., late filing | - | • | • | • | osure Stat | tement | | \$180.00 |
| SUBMITTED BY 1 | | | | | | | | |
| Signature | 201 | | | Registration No. (Attorney/Agent) | 9,603 | | Telephone g | 925-621-2113 |
| 1 1 | - 7 V | - | - 1 | (AUOHIEV/AGENT) " | | | | · · |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Pak Shing Chau, Haw-Jyh Liaw, Jun Kim and Jared L. Zerbe

Assignee:

Rambus Inc.

Title:

"Low-Latency Equalization in Multi-Level, Multi-Line

Communication Systems"

Serial No.:

09/654,643

Filed:

09/05/2000

Examiner:

Bayard, Emmanuel

Tel:

571-272-3016

Docket No.:

RA-194

Art Unit:

2631

(RA194.P.US)

Mail Stop AF Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicants bring the 8 documents listed on the enclosed forms PTO/SB/08A (1 page) and PTO/SB/08B (1 page) to the Examiner's attention in the above-identified application. Citation of the listed documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant application;
- 2. a representation that a search has been made; or
- 3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class Mail and is addressed to: Mail Stop Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Data

Laurie Moreno

Respectfully submitted.

Arthur J. Behiel Attorney for Applicants

Reg. No. 39,603

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Sheet 1

Substitute for form 1449/PTO

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | | |
|------------------------|--------------------|--|--|--|
| Application Number | 09/654,643 | | | |
| Filing Date | 09/05/2000 | | | |
| First Named Inventor | Pak Shing Chau | | | |
| Art Unit | 2631 | | | |
| Examiner Name | Bayard, Emmanuel | | | |
| Attorney Docket Number | RA-194 (RA194 PUS) | | | |

| | | | | DOCUMENTS | |
|-----------------------|--------------------------|--|-----------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (f known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear |
| | 1 | ^{US-} 6,812,872 B1 | 11/02/2004 | Lu | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|------------------------------|--------------------------|---|--|---|----------------------------|----|--|--|
| Examiner Cite Initials* No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | T€ | | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1443/110 | Application Number | 09/654,643 | | |
| INFORMATION DISCLOSURE | Filing Date | 09/05/2000 | | |
| STATEMENT BY APPLICANT | First Named Inventor | Pak Shing Chau | | |
| (Use as many sheets as necessary) | Art Unit | 2631 | | |
| (Use as many sneets as necessary) | Examiner Name | Bayard, Emmanuel | | |
| Sheet 2 of 2 | Attorney Docket Number | RA-194 (RA194.P.US) | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | 2 | CHOI, JONG-SANG et al., "A CMOS 3.5Gbps Continuous-time Adaptive Cable Equalizer with Joint Adaptation Method of Low-Frequency Gain and High-Frequency Boosting." 2003 | |
| | 3 | SHAKIBA, MOHAMMAD HOSSEIN, "WP 23.3 A 2.5Gb/s Adaptive Cable Equalizer." 1999 IEEE International Solid-State Circuits Conference. Pages 396-397. | |
| | 4 | BAKER, ALAN J., "FA 10.7: An Adaptive Cable Equalizer for Serial Digital Video Rates to 400Mb/s." 1996 IEEE International Solid-State Circuits Conference." Pages 174-175. | |
| | 5 | KUDOH, YOSHIHARU et al., "A 0.13-um CMOS 5-Gb/s 10-m 28 AWG Cable Transceiver with No-Feedback-Loop Continuous-Time Post-Equalizer." IEEE Journal of Solid-State Circuits, Vol. 38, No. 5, Hou 2003. Pages 기내・기내. | |
| | 6 | GRANBERG, TOM, "Handbook of Digital Techniques for High-Speed Design." Cover and pub. pg., pgs. 211-226. | |
| | 7 | FARJAD-RAD, RAMIN et al., "0.622-8.0Gbps 150mW Serial IO Macrocell with Fully Flexible Preemphasis and Equalization." 2003 Symposium on VLSI Circuits Digest of Technical Pages. 4 Pages. | |
| | 8 | STOJANOVIC, VLADIMIR et al., "Adaptive Equalization and Data Recovery in a Dual-Mode (PAM2/4) Serial Link Transceiver." June 2004. 4 pages. Rombus | |
| | | Engineering, Stanford University, CA 94305, USA. | |
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| Examiner | Date | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. Tapplicant's unique citation designation number (optional). 2 Applicant is to place a check mark here it English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.